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Date of Deposit

Michael E. Milz, Esq. - Reg. No. 34,880

Name of applicant, assignee or  
Registered Representative

Signature

April 2, 2001

Date of Signature



11/1DS. (V)  
y. Smith  
2/4/03

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APR 05 2001  
TO 3600 MAIL ROOM

Our Case No.: 3380/371

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MARTIN LAKES

Serial No.: 09/753,912

Filing Date: January 3, 2001

For "CRAWLER CRANE HAVING  
IDENTICAL LEFT AND RIGHT  
CRAWLER ASSEMBLIES"

Examiner:

Group Art Unit No.: 3652

### INFORMATION DISCLOSURE STATEMENT FILED UNDER 37 C.F.R § 1.97(b)(3)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants wish to bring to the attention of the Examiner in charge of the above-captioned case the following patent references, which may be relevant to the examination of the above-captioned patent application.

**U.S. PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME
A1	3,797,598	03/19/74	WANNER
A2	3,869,061	03/04/75	WANNER
A3	3,872,939	03/25/75	ECKERT
A4	4,000,784	01/04/77	MORROW, SR. ET AL.
A5	4,014,400	03/29/77	CLINE ET AL.
A6	4,029,165	06/14/77	MILLER ET AL.
A7	4,068,732	01/17/78	GRANRYD
A8	4,204,583	05/27/80	TOYOURA ET AL.
A9	4,431,074	02/14/84	LANGERUD
A10	4,469,186	09/04/84	GOODWINE
A11	4,519,465	05/28/85	TRIPLETT
A12	4,673,050	06/16/87	ZSCHOCKE
A13	4,683,969	08/04/87	LITTAU
A14	4,998,591	03/12/91	ZAUNBERGER
A15	5,282,363	02/01/94	OGAWA ET AL.
A16	5,286,044	02/15/94	SATZLER ET AL.
A17	5,740,875	04/21/98	WILSON, SR. ET AL.
A18	6,158,535	12/12/00	PORUBCANSKY ET AL.

**FOREIGN PATENT DOCUMENTS**

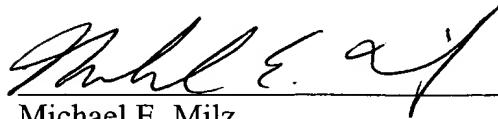
	DOCUMENT NUMBER	DATE	COUNTRY
A19	1,571,771	20/06/69	France

For the Examiner's convenience, a copy of each of the foregoing patent references has been enclosed. Also enclosed is a Form PTO-1449 listing the patent references identified above.

Since the foregoing patent references are in English, no description of its relevance is required pursuant to 37 C.F.R. § 1.98(a)(3).

In accordance with 37 C.F.R. § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of the first Office action on the merits.

Respectfully submitted,



Michael E. Milz  
Reg. No. 34,880  
Attorney For Applicant

BRINKS HOFER GILSON & LIONE  
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Dated: April 2, 2001

GAU 3652

TRANSMITTAL LETTER			Case No. 3380/371
Serial No. 09/753,912	Filing Date January 3, 2001	Examiner	Group Art Unit 3652
Inventor(s) MARTIN LAKES			
Title of Invention CRAWLER CRANE HAVING IDENTICAL LEFT AND RIGHT CRAWLER ASSEMBLIES			

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an Information Disclosure Statement; Form PTO-1449; 18 U.S. References; 1 Foreign Reference; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

## Small Entity

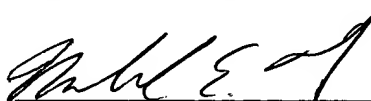
Rate	Add'l Fee
x \$9 =	
x 40 =	
+ \$135 =	
Total add'l fee	\$

## Other Than Small Entity

Rate	Add'l Fee
x \$18 =	
x \$80 =	
+ \$270 =	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Michael E. Milz  
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Date: April 2, 2001Signature: 